SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* COHEN DANIEL G 01/15		ment	3. Issuer Name and Ticker or Trading Symbol <u>Fintech Acquisition Corp. II</u> [ FNTE ]				
(Last) (First) (Middle) C/O FINTECH ACQUISITION CORP. II 2929 ARCH STREET, SUITE 1703			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         X       Director       X       10% Owner         X       Officer (give title below)       Other (specify below)			<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>01/19/2017</li> <li>6. Individual or Joint/Group Filing (Check</li> </ul>	
(Street) PHILADELPHIA PA 19104 (City) (State) (Zip)			Chief Executive C	Officer	· ·		y One Reporting Person y More than One erson
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock			796,366	D	D		
Common Stock			\$515,699	I By		By DGC Family FinTech Trust	
Common Stock			365 <b>,</b> 000 <sup>(1)</sup>	I By I		y FinTech Investor Holdings II, LLC	
Common Stock			305,449	I By C		v Cohen Sponsor Interests II, LLC	
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		4. Conversio or Exercise Price of	e Form:	t (Instr. 5)
	Date Expiration Exercisable Date		Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants	(3)	(4)	Common Stock	20,000 <sup>(2)</sup>	11.5	I	By FinTech Investor Holdings II, LLC
Explanation of Responses:							

1. Includes 40,000 shares of common stock underlying 40,000 units of the Issuer that FinTech Investor Holdings II, LLC irrevocably committed to purchase.

2. 20,000 warrants underlying 40,000 units of the Issuer that FinTech Investor Holdings II, LLC irrevocably committed to purchase.

3. The warrants will become exercisable at the later of 30 days after the consummation of the Issuer's initial business combination or 12 months from the completion of the Issuer's initial public offering.

4. The warrants will expire five years after the consummation of the Issuer's initial business combination or earlier upon redemption of all of the Issuer's outstanding common stock or the Issuer's liquidation.

## **Remarks:**

The amendment is being filed to correct the number of indirect shares held by Cohen Sponsor Interests II, LLC

/s/ Daniel G. Cohen

01/24/2017

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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